

Status of Trading License Holders

STATUS OF TRADING LICENSE HOLDERS AS AT 12 APRIL 2021

| A | ACTIVE TRADING LICENSE HOLDERS | |
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| S/N | FIRM | STATUS |
| 1 | Absa Securities Nigeria Limited | Active |
| 2 | Afrinvest Securities Limited | Active |
| 3 | Anchoria Investment and Securities Limited | Active |
| 4 | Apel Asset Limited | Active |
| 5 | Apt Securities and Funds Limited | Active |
| 6 | ARM Securities Limited | Active |
| 7 | Arthur Stevens Asset Management Limited | Active |
| 8 | Associated Asset Managers Limited | Active |
| 9 | Atlass Portfolio Limited | Active |
| 10 | AVA Securities Limited | Active |
| 11 | Bauchi Investment Corp. Sec Limited | Active |
| 12 | Belfry Investment and Securities Limited | Active |
| 13 | Bestworth Assets and Trust Limited | Active |
| 14 | Calyx Securities Limited | Active |
| 15 | Camry Securities Limited | Active |
| 16 | Capital Asset Limited | Active |
| 17 | Capital Bancorp Plc | Active |
| 18 | Capital Express Securities Limited | Active |
| 19 | Capital Trust Brokers Limited | Active |
| 20 | Cardinal Stone Securities Ltd | Active |
| 21 | Cashcraft Securities Limited | Active |
| 22 | Cashville Investment and Securities Limited | Active |
| 23 | CDL Capital Markets Limited | Active |
| 24 | Centre Point Investment Limited | Active |
| 25 | Century Securities Limited | Active |
| 26 | Chapel Hill Denham Securities Limited | Active |
| 27 | Chartwell Securities Limited | Active |
| 28 | Citi Investment Capital Limited | Active |
| 29 | City Code Trust and Investment Company Limited | Active |
| 30 | Compass Investment and Securities Limited | Active |
| 31 | Cordros Securities Limited | Active |
| 32 | Core Securities Limited | Active |
| 33 | Coronation Securities Limited | Active |
| 34 | Cowry Securities Limited | Active |
| 35 | Crossworld Securities Limited | Active |
| 36 | Crown Capital Limited | Active |
| 37 | CSL Stockbrokers Limited | Active |
| 38 | Deep Trust Investment Limited | Active |
| 39 | Delords Securities Limited | Active |
| 40 | Dominion Trust Limited | Active |
| 41 | DSU Brokerage Services Limited | Active |
| 42 | Dunbell Securities Limited | Active |
| 43 | DLM Securities Limited | Active |
| 44 | Dynamic Portfolio Limited | Active |
| 45 | EDC Securities Limited | Active |
| 46 | Edgefield Capital Management Limited | Active |
| 47 | EFG Hermes Nigeria Limited | Active |
| 48 | El-Elyon Alliance and Securities Ltd | Active |

*A Trading License Holder is considered an inactive firm where the firm has been suspended for a period of 3 or more months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.

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| 49 | Elixir Securities Limited | Active |
| 50 | Equity Capital Solutions Limited | Active |
| 51 | Eurocomm Securities Limited | Active |
| 52 | Express Portfolio Services Limited | Active |
| 53 | Falcon Securities Limited | Active |
| 54 | FBC Trust and Securities Limited | Active |
| 55 | FBNQuest Securities Limited | Active |
| 56 | FCSL Asset Management Company Limited | Active |
| 57 | Fidelity Finance Company Limited | Active |
| 58 | Financial Trust Company Nigeria Limited | Active |
| 59 | Finmal Finance Services Limited | Active |
| 60 | First Integrated Capital Manangement Limited | Active |
| 61 | FIS Securities Limited | Active |
| 62 | Foresight Securities and Investment Limited | Active |
| 63 | Forte Financial Limited | Active |
| 64 | Forthright Securities and Investments Limited | Active |
| 65 | Fortress Capital Limited | Active |
| 66 | FSDH Capital Limited | Active |
| 67 | FSL Securities Limited | Active |
| 68 | Funds Matrix and Assets Mgt Limited | Active |
| 69 | Fundvine Capital and Securities Limited | Active |
| 70 | Futureview Securities Limited | Active |
| 71 | Gem Assets Management Limited | Active |
| 72 | Gidauniya Investment and Securities Limited | Active |
| 73 | Global Asset Management (Nig) Limited | Active |
| 74 | GlobalView Capital Limited | Active |
| 75 | Golden Securities Limited | Active |
| 76 | Greenwich Securities Limited | Active |
| 77 | Growth & Development Asset Management Limited | Active |
| 78 | Gruene Capital Limited | Active |
| 79 | GTI Securities Limited | Active |
| 80 | Harmony Securities Limited | Active |
| 81 | Heartbeat Investment Limited | Active |
| 82 | Hedge Securities and Investments Company Limited | Active |
| 83 | Heritage Capital Markets Limited | Active |
| 84 | ICMG securities Limited | Active |
| 85 | Icon Stockbrokers Limited | Active |
| 86 | Imperial Asset Managers Limited | Active |
| 87 | Integrated Trust and Investment Limited | Active |
| 88 | Interstate Securities Limited | Active |
| 89 | Investment One Stockbrokers International Limited | Active |
| 90 | Investors and Trust Company Limited | Active |
| 91 | Kapital Care Trust and Securities Limited | Active |
| 92 | Kedari Capital Limited | Active |
| 93 | Kinley Securities Limited | Active |
| 94 | Kofana Securities and Investment Limited | Active |
| 95 | Lambeth Capital Limited | Active |
| 96 | Lead Securities and Investment Limited | Active |
| 97 | Lighthouse Capital Limited | Active |
| 98 | Magnartis Finance and Investment Limited | Active |
| 99 | Mainstreet Bank Securities Limited | Active |
| 100 | Marimpex Finance and Investment Company Limited | Active |
| 101 | Maxifund Investment and Securities Plc | Active |
| 102 | MBC Securities Limited | Active |
| 103 | Mega Equities Limited | Active |
| 104 | Meristem Stockbrokers Limited | Active |

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| 105 | Midas Stockbrokers Limited | Active |
| 106 | Mission Securities Limited | Active |
| 107 | Molten Trust Limited | Active |
| 108 | Morgan Capital Securities Limited | Active |
| 109 | Mountain Investment and Securities Limited | Active |
| 110 | Network Capital Limited | Active |
| 111 | Networth Securities and Finance Limited | Active |
| 112 | Newdevco Investments and Securities Limited | Active |
| 113 | Nigerian International Securities Ltd | Active |
| 114 | Nigerian Stockbrokers Limited | Active |
| 115 | Nova Finance and Securities Limited | Active |
| 116 | NOVAMBL Securities Limited | Active |
| 117 | Osborne Capital Markets Limited | Active |
| 118 | PAC Securities Limited | Active |
| 119 | Peace Capital Markets Ltd | Active |
| 120 | Pilot Securities Limited | Active |
| 121 | Pinefields Investment Services Limited | Active |
| 122 | PIPC Securities Limited | Active |
| 123 | Pivot Capital Limited | Active |
| 124 | Planet Capital Limited | Active |
| 125 | Portfolio Advisers Limited | Active |
| 126 | Premium Capital and Stockbrokers Limited | Active |
| 127 | Primewealth Capital Limited | Active |
| 128 | Prominent Securities Limited | Active |
| 129 | PSI Securities Limited | Active |
| 130 | PSL Capital Limited | Active |
| 131 | Pyramid Securities Limited | Active |
| 132 | Qualinvest Capital Limited | Active |
| 133 | Quantum Zenith Securities & Investments Limited | Active |
| 134 | Rainbow Securities and Investment Company Limited | Active |
| 135 | Readings Investment Limited | Active |
| 136 | Regency Asset Management Limited | Active |
| 137 | Rencap Securities (Nig) Limited | Active |
| 138 | Resort Securities and Trust Limited | Active |
| 139 | Reward Investment and Service Limited | Active |
| 140 | RMB Nigeria Stockbrokers Limited | Active |
| 141 | Rostrum Investment and Sec Limited | Active |
| 142 | Rowet Capital Mgt Limited | Active |
| 143 | Royal Crest Finance Limited | Active |
| 144 | Royal Guaranty and Trust Limited | Active |
| 145 | Sankore Securities Limited | Active |
| 146 | Santrust Securities Limited | Active |
| 147 | Securities Africa Financial Limited | Active |
| 148 | Securities and Capital Management Company Limited | Active |
| 149 | Security Swaps Limited | Active |
| 150 | Shalom Investment and Financial Services Limited | Active |
| 151 | Shelong Investments Limited | Active |
| 152 | Sigma Securities Limited | Active |
| 153 | Signet Investment and Securities Limited | Active |
| 154 | Skyview Capital Ltd | Active |
| 155 | Smadac Securities Limited | Active |
| 156 | Solid Rock Securities and Investment Plc | Active |
| 157 | Spring Trust and Securities Limited | Active |
| 158 | Springboard Trust and Investment Limited | Active |
| 159 | Stanbic IBTC Stockbrokers Limited | Active |
| 160 | Standard Union Securities Limited | Active |

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| 161 | StoneX Financial Nigeria Limited | Active |
| 162 | The Bridge Securities Limited | Active |
| 163 | Tiddo Securities Limited | Active |
| 164 | Tomil Trust Limited | Active |
| 165 | Topmost Securities Limited | Active |
| 166 | Tower Securities and Investment Company Limited | Active |
| 167 | Trade Link Securities Limited | Active |
| 168 | Traders Trust and Investment Co. Limited | Active |
| 169 | Transworld Investment Limited | Active |
| 170 | Trust Yield Securities Limited | Active |
| 171 | TrustBanc Capital Management Limited | Active |
| 172 | Trusthouse Investment Limited | Active |
| 173 | TRW Stockbrokers Limited | Active |
| 174 | Tyndale Securities Limited | Active |
| 175 | UCML Capital Limited | Active |
| 176 | UIDC Securities Limited | Active |
| 177 | Unex Capital Limited | Active |
| 178 | United Capital Securities Limited | Active |
| 179 | Valmon Securities Limited | Active |
| 180 | Valueline Securities and Investment Limited | Active |
| 181 | Vetiva Securities Limited | Active |
| 182 | WCM Capital Limited | Active |
| 183 | WSTC Securities Limited | Active |
| 184 | Zion Stockbrokers and Securities Limited | Active |

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| B | INACTIVE TRADING LICENSE HOLDERS | |
|------------|---|---------------|
| S/N | FIRM | STATUS |
| 1 | Adamawa Securities Limited | Inactive |
| 2 | Adonai Stockbrokers Limited | Inactive |
| 3 | Amyn Investment Limited | Inactive |
| 4 | CEB Securities Limited | Inactive |
| 5 | Clearview Investments Company Limited | Inactive |
| 6 | CLG Stockbrokers Limited | Inactive |
| 7 | Crane Securities Limited | Inactive |
| 8 | ECL Asset Management Limited | Inactive |
| 9 | Enterprise Stockbrokers Limited | Inactive |
| 10 | Financial and Analytics Capital Limited | Inactive |
| 11 | First Stockbrokers Limited | Inactive |
| 12 | GMT Securities and Asset Mangement Limited | Inactive |
| 13 | Gombe Securities Limited | Inactive |
| 14 | Horizon Stockbrokers Limited | Inactive |
| 15 | Investment Shark and Asset Mgt Ltd | Inactive |
| 16 | ITIS Securities Limited | Inactive |
| 17 | Kundila Finance Services Limited | Inactive |
| 18 | Maven Asset Management Limited | Inactive |
| 19 | Mayfield Investment Limited | Inactive |
| 20 | MBL Financial Services Limited | Inactive |
| 21 | Midpoint Capital Limited | Inactive |
| 22 | Milestone Capital Management Limited | Inactive |
| 23 | ML Securities Limited | Inactive |
| 24 | Mutual Alliance Investment and Securities Limited | Inactive |
| 25 | Quantum Securities Limited | Inactive |
| 26 | Royal Trust Securities Limited | Inactive |

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| 27 | Standard Alliance Capital and Asset Management Limited | Inactive |
| 28 | Supra Commercial Trust Limited | Inactive |
| 29 | TFS Securities and Investment Company Limited | Inactive |
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| C | TRADING LICENSE HOLDERS THAT HAVE RECLASSIFIED TO SUB-BROKER FUNCTION | |
| S/N | FIRM | STATUS |
| 1 | Alangrange Securities Limited | Reclassified to Sub Broker |
| 2 | Arian Capital Management Limited | Reclassified to Sub Broker |
| 3 | Bestlink Investments Limited | Reclassified to Sub Broker |
| 4 | Covenant Securities & Asset Management Limited | Reclassified to Sub Broker |
| 5 | Cradle Trust Securities Limited | Reclassified to Sub Broker |
| 6 | Dependable Securities Limited | Reclassified to Sub Broker |
| 7 | Excel Securities Limited | Reclassified to Sub Broker |
| 8 | Investment Centre Limited | Reclassified to Sub Broker |
| 9 | LB Securities Limited | Reclassified to Sub Broker |
| 10 | Mainland Trust Limited | Reclassified to Sub Broker |
| 11 | Marriot Securities & Investment Co. Limited | Reclassified to Sub Broker |
| 12 | Northbridge Investment & Trust Limited | Reclassified to Sub Broker |
| 13 | Options Securities Limited | Reclassified to Sub Broker |
| 14 | Perfecta Investment Trust Limited | Reclassified to Sub Broker |
| 15 | Phronesis Securities Limited | Reclassified to Sub Broker |
| 16 | PML Securities Company Limited | Reclassified to Sub Broker |
| 17 | Professional Stockbrokers Limited | Reclassified to Sub Broker |
| 18 | Redasel Investment Limited | Reclassified to Sub Broker |
| 19 | Surport Services Limited | Reclassified to Sub Broker |
| 20 | Tower Asset Management Limited | Reclassified to Sub Broker |
| 21 | Vision Trust and Investment Limited | Reclassified to Sub Broker |
| 22 | Waila Securities & Funds Limited | Reclassified to Sub Broker |
| 23 | Yobe Investment & Securities Limited | Reclassified to Sub Broker |
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| D | TRADING LICENSE HOLDERS DEREGISTERED BY THE SECURITIES AND EXCHANGE COMMISSION (SEC) | |
| S/N | FIRM | STATUS |
| 1 | Aims Asset Management Limited | Deregistered by SEC as at 18th January 2021 |
| 2 | BGL Securities Limited | Deregistered by SEC as at 26th May 2016 |
| 3 | Cadington Securities Limited | Deregistered by SEC as at 18th January 2021 |
| 4 | Decanon Investment Limited | Deregistered by SEC as at 18th December 2015 |
| 5 | International Standard Securities Limited | Deregistered by SEC as at 18th January 2021 |
| 6 | Lion Stockbrokers Limited | Deregistered by SEC as at 18th January 2021 |
| 7 | LMB Stockbrokers Limited | Deregistered by SEC as at 18th January 2021 |
| 8 | Mact Securities Limited | Deregistered by SEC as at 18th January 2021 |
| 9 | Monument Securities and Finance Limited | Deregistered by SEC as at 18th January 2021 |
| 10 | Partnership Securities Limited | Deregistered by SEC as at 13th September 2017 |
| 11 | Platinum Capital Limited | Deregistered by SEC as at 18th December 2015 |
| 12 | Profund Securities Limited | Deregistered by SEC as at 18th January 2021 |
| 13 | Securities Solutions Limited | Deregistered by SEC as at 18th December 2015 |
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| E | TRADING LICENSE HOLDERS THAT HAVE RESIGNED FROM THE EXCHANGE | |
| S/N | FIRM | STATUS |
| 1 | African Alliance Stockbrokers Limited | Resigned effective 4th March 2020 |
| 2 | Stanwal Securities Limited | Resigned effective 4th March 2020 |
| 3 | Summa Guaranty and Trust Company Limited | Resigned effective 4th March 2020 |

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| F | TRADING LICENSE HOLDERS THAT HAVE DISCONTINUED BUSINESS OPERATIONS | |
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| S/N | FIRM | STATUS |
| 1 | Finbank Securities and Assets Management Limited | Liquidated and Wound Up |
| 2 | Intercontinental Securities Limited | Liquidated and Wound Up |
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| G | EXPELLED TRADING LICENSE HOLDERS | |
|------------|---|---------------------------------------|
| S/N | FIRM | STATUS |
| 1 | A.T.I.F Securities Limited/Atif Limited | Expelled effective 30th March 2017 |
| 2 | AAA Securities Limited | Expelled effective 11th December 2015 |
| 3 | Abacus Securities Limited | Expelled effective 30th March 2017 |
| 4 | ABC Securities Limited | Expelled effective 30th March 2017 |
| 5 | Afro-Arab Investment Limited | Expelled effective 11th December 2015 |
| 6 | Akitorch Securities Limited | Expelled effective 30th March 2017 |
| 7 | All Wealth Securities Limited | Expelled effective 30th March 2017 |
| 8 | Allbond Investment Limited | Expelled effective 26th January 2017 |
| 9 | Alliance Capital Management Company Limited | Expelled effective 25th June 2018 |
| 10 | Al-Pina Investment and Trust Co Limited | Expelled effective 11th December 2015 |
| 11 | Andruche Investments Plc | Expelled effective 13th December 2018 |
| 12 | Angela Eccles Limited | Expelled effective 13th December 2018 |
| 13 | Apex Securities Limited | Expelled effective 30th March 2017 |
| 14 | Asset Plus Securities Limited | Expelled effective 30th March 2017 |
| 15 | Associated Securities Limited | Expelled effective 30th March 2017 |
| 16 | Associated Trust Investment & Finance Limited | Expelled effective 13th December 2018 |
| 17 | Avon Finance and Securities Limited/Avon Finance and Investment Limited | Expelled effective 30th March 2017 |
| 18 | Barakat Investment Limited | Expelled effective 11th December 2015 |
| 19 | BBL Asset Management Limited | Expelled effective 11th December 2015 |
| 20 | Beachgroove Securities and Investments Limited. | Expelled effective 30th March 2017 |
| 21 | Beaver Securities Limited | Expelled effective 13th December 2018 |
| 22 | Betraco Securities Limited | Expelled effective 13th December 2018 |
| 23 | BFCL Asset and Securities Limited | Expelled effective 11th December 2015 |
| 24 | BIC Securities Limited | Expelled effective 11th December 2015 |
| 25 | Bosson Capital Assets Limited | Expelled effective 26th January 2017 |
| 26 | Broadedge Securities Limited | Expelled effective 30th March 2017 |
| 27 | Bullion Securities Limited (Bullion Stockbrokers Limited) | Expelled effective 30th March 2017 |
| 28 | Bytofel Trust and Securities Limited | Expelled effective 25th May 2017 |
| 29 | Cardinal Securities Limited | Expelled effective 30th March 2017 |
| 30 | City Investment Management Limited | Expelled effective 30th March 2017 |
| 31 | Cobal Ventures Limited | Expelled effective 13th December 2018 |
| 32 | Colvia Securities Limited | Expelled effective 11th December 2015 |
| 33 | Commet Finance and Securities Limited | Expelled effective 30th March 2017 |
| 34 | Consolidated Investment Limited | Expelled effective 26th January 2017 |
| 35 | Corporate Focus Securities Limited | Expelled effective 13th December 2018 |
| 36 | Corporate Trust Limited | Expelled effective 30th March 2017 |
| 37 | Crown Merchant Securities Limited | Expelled effective 30th March 2017 |
| 38 | Dakal Services Limited | Expelled effective 26th January 2017 |
| 39 | Dalgo Investment and Trust Limited | Expelled effective 30th March 2017 |
| 40 | Davandy Finance Limited | Expelled effective 11th December 2015 |
| 41 | Devcom Securities Limited | Expelled effective 30th March 2017 |
| 42 | Devserv Finance and Securities Limited | Expelled effective 30th March 2017 |
| 43 | EBN Securities Limited | Expelled effective 30th March 2017 |
| 44 | Emi Capital Resources Limited | Expelled effective 26th January 2017 |

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| 45 | Empire Securities Limited | Expelled effective 11th December 2015 |
| 46 | Enabell Capital and Investment Limited | Expelled effective 11th December 2015 |
| 47 | Epic Investment Trust Limited | Expelled effective 11th December 2015 |
| 48 | Equator Stockbrokers Limited | Expelled effective 11th December 2015 |
| 49 | Equity Securities Limited | Expelled effective 30th March 2017 |
| 50 | Farida Investment and Finance Limited | Expelled effective 30th March 2017 |
| 51 | Financial Intermediaries Limited | Expelled effective 13th December 2018 |
| 52 | First Alstate Securities Limited | Expelled effective 26th January 2017 |
| 53 | First Atlantic Securities Limited | Expelled effective 11th December 2015 |

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| 54 | First Equity Securities Limited | Expelled effective 26th January 2017 |
| 55 | First Express Limited | Expelled effective 11th December 2015 |
| 56 | Fittco Securities Limited | Expelled effective 30th September 2015 |
| 57 | Folu Securities Limited | Expelled effective 11th December 2015 |
| 58 | Genesis Securities and Investment Limited | Expelled effective 11th December 2015 |
| 59 | GF Securities Limited | Expelled effective 13th December 2018 |
| 60 | Gilts and Hedge Finance Limited | Expelled effective 30th March 2017 |
| 61 | Global Investment and Sec Limited | Expelled effective 30th March 2017 |
| 62 | Goldworth Securities Limited | Expelled effective 30th March 2017 |
| 63 | Gosord Securities Limited | Expelled effective 1st August 2014 |
| 64 | Haggai Investment and Trust Limited | Expelled effective 30th March 2017 |
| 65 | Halsec Finance Limited | Expelled effective 30th March 2017 |
| 66 | HP Securities Limited | Expelled effective 30th March 2017 |
| 67 | IB Finance Limited | Expelled effective 13th December 2018 |
| 68 | Ideal Securities Limited | Expelled effective 26th January 2017 |
| 69 | Indemnity Finance Limited | Expelled effective 11th December 2015 |
| 70 | Integrated and Allied Securities Limited | Expelled effective 11th December 2015 |
| 71 | Integrated Securities Ltd | Expelled effective 13th December 2018 |
| 72 | Integrated Ventures Nigeria Limited | Expelled effective 13th December 2018 |
| 73 | Intercommerce and Consultant Limited | Expelled effective 13th December 2018 |
| 74 | Investicon Nigeria Limited | Expelled effective 30th March 2017 |
| 75 | Investment & Capital Development Company Limited | Expelled effective 13th December 2018 |
| 76 | Investment Resources Limited | Expelled effective 30th March 2017 |
| 77 | Investment Trust Company Limited | Expelled effective 13th December 2018 |
| 78 | Island Securities Limited | Expelled effective 30th March 2017 |
| 79 | Jenkins Investments Limited | Expelled effective 30th March 2017 |
| 80 | Kamrash Securities Limited | Expelled effective 13th December 2018 |
| 81 | Kapital Securities Limited | Expelled effective 30th March 2017 |
| 82 | KFF Worldwide Solutions Limited | Expelled effective 26th January 2017 |
| 83 | Kingdom Securities Limited | Expelled effective 11th December 2015 |
| 84 | Lakeside Asset Management Limited | Expelled effective 13th December 2018 |
| 85 | Lakesworth Investment and Securities Limited | Expelled effective 1st August 2014 |
| 86 | Lozinger Securities Limited | Expelled effective 30th March 2017 |
| 87 | M & F Investment & Securities Limited | Expelled effective 13th December 2018 |
| 88 | M&M Securities Limited | Expelled effective 30th March 2017 |
| 89 | M. J Securities and Investment Limited | Expelled effective 30th March 2017 |
| 90 | Majestic Securities Limited | Expelled effective 30th March 2017 |
| 91 | Maninvest Asset Management Plc | Expelled effective 26th January 2017 |
| 92 | Matrix Capital Management Limited | Expelled effective 30th March 2017 |
| 93 | MBA Securities Limited | Expelled effective 30th March 2017 |
| 94 | MBCOM Securities Limited | Expelled effective 30th March 2017 |
| 95 | Merchant Securities Limited | Expelled effective 30th March 2017 |
| 96 | Mercov Securities Limited | Expelled effective 31st January 2019 |
| 97 | Metropolitan Trust Nigeria Limited | Expelled effective 26th January 2017 |
| 98 | Midland Capital Markets Limited | Expelled effective 19 September 2017 |
| 99 | Midlands Investment and Trust Limited | Expelled effective 11th December 2015 |
| 100 | Milestone Investment Services Limited | Expelled effective 13th December 2018 |
| 101 | Millennium Investment Trust Limited | Expelled effective 13th December 2018 |
| 102 | MMB Securities and Trust Limited | Expelled effective 30th March 2017 |
| 103 | MMG Securities Limited | Expelled effective 30th March 2017 |
| 104 | Moji Securities & Investment Nigeria Limited | Expelled effective 13th December 2018 |
| 105 | Morgan Trust Asset Management Plc (formerly known as IMB Morgan Plc and formerly known as IMB Securities Plc) | Expelled effective 13th December 2018 |
| 106 | Multi Trust Securities Limited | Expelled effective 11th December 2015 |
| 107 | Multibank International Securities Limited | Expelled effective 13th December 2018 |
| 108 | Nationwide Finance And International Securities Limited | Expelled effective 13th December 2018 |

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| 109 | Nationwide Securities Limited | Expelled effective 30th March 2017 |
| 110 | New Horizon Finance and Investment Limited | Expelled effective 30th March 2017 |
| 111 | Nigbel Securities Limited | Expelled effective 30th March 2017 |
| 112 | Novelty Investment Limited | Expelled effective 13th December 2018 |
| 113 | Omas Investment and Trust Company Limited | Expelled effective 26th January 2017 |
| 114 | Omega Securities Limited | Expelled effective 30th March 2017 |
| 115 | Omnisource International Limited | Expelled effective 30th March 2017 |
| 116 | OpenGate Finance Company Limited | Expelled effective 30th March 2017 |
| 117 | Optimus Finance And Securities Limited | Expelled effective 13th December 2018 |
| 118 | Pabod Finance & Investment Company Limited | Expelled effective 13th December 2018 |
| 119 | Pabofin Securities Limited | Expelled effective 13th December 2018 |
| 120 | Pacific Securities Limited | Expelled effective 30th March 2017 |
| 121 | Pamal Finance Limited | Expelled effective 30th March 2017 |
| 122 | Path Securities & Investment Ltd | Expelled effective 13th December 2018 |
| 123 | Peak Securities Limited | Expelled effective 30th March 2017 |
| 124 | Peninsula Asset Management and Investment Company Limited | Expelled effective 26th January 2017 |
| 125 | Prime Securities Limited | Expelled effective 30th March 2017 |
| 126 | Prudent Stockbrokers Limited | Expelled effective 30th March 2017 |
| 127 | Prudential Securities Limited | Expelled effective 26th January 2017 |
| 128 | Resano Securities Limited | Expelled effective 31st January 2019 |
| 129 | RIV Trust Securities Limited | Expelled effective 11th December 2015 |
| 130 | Riverside Trust Limited | Expelled effective 11th December 2015 |
| 131 | Royal Securities Limited | Expelled effective 30th March 2017 |
| 132 | Securities Trading and Investments Limited | Expelled effective 26th January 2017 |
| 133 | Shiroro Finance Ltd | Expelled effective 13th December 2018 |
| 134 | Sikon Securities and Investment Trust Limited | Expelled effective 11th December 2015 |
| 135 | Silver and Gold Securities Limited | Expelled effective 26th January 2017 |
| 136 | Source Finance Limited/Source Finance and Trust Company Limited | Expelled effective 30th March 2017 |
| 137 | Standard Chartered Securities Limited | Expelled effective 11th December 2015 |
| 138 | Supreme Finance and Investment Co. Limited | Expelled effective 30th March 2017 |
| 139 | Synergy and Assets Trust Limited | Expelled effective 30th March 2017 |
| 140 | Tassel Finance & Investment Company | Expelled effective 13th December 2018 |
| 141 | Thomas Kinsley Securities Limited | Expelled effective 30th March 2017 |
| 142 | Tradestamp Securities Limited | Expelled effective 30th March 2017 |
| 143 | Transafrica Financial Services Limited | Expelled effective 31st January 2019 |
| 144 | Transglobe Investment and Finance Company Limited | Expelled effective 26th January 2017 |
| 145 | Translux Services Limited | Expelled effective 11th December 2015 |
| 146 | Tropics Securities Limited | Expelled effective 26th January 2017 |
| 147 | Trust Securities Limited | Expelled effective 30th March 2017 |
| 148 | Unique Securities & Finance Services Limited | Expelled effective 13th December 2018 |
| 149 | Unit Trust Securities Limited | Expelled effective 30th March 2017 |
| 150 | Universal Securities Limited | Expelled effective 30th March 2017 |
| 151 | Upper Credit Securities And Investments Limited | Expelled effective 13th December 2018 |
| 152 | Viva Securities Limited | Expelled effective 30th March 2017 |
| 153 | Wellsfargo Capital Limited | Expelled effective 13th December 2018 |
| 154 | Westland Investment Ltd | Expelled effective 13th December 2018 |
| 155 | Wintrust Limited | Expelled effective 30th March 2017 |
| 156 | WizeTrade Capital and Asset Management Limited | Expelled effective 26th January 2017 |
| 157 | WT Securities Limited | Expelled effective 26th January 2017 |
| 158 | Zuma Securities Limited | Expelled effective 26th January 2017 |
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| | SUMMARY | |
| A | Active Trading License Holders | 184 |
| B | Inactive Trading License Holders | 29 |

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| C | Reclassified to Sub Broker | 23 |
| D | Trading License Holders Deregistered by The SEC | 13 |
| E | Trading License Holders That Have Resigned From The Exchange | 3 |
| F | Discontinued Business Operations | 2 |
| G | Expelled Trading License Holders | 158 |
| ***Resignation in progress | | |

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